

# The parameterized complexity of $k$ -edge induced subgraphs <sup>\*</sup>

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**Abstract.** We prove that finding a  $k$ -edge induced subgraph is fixed-parameter tractable, thereby answering an open problem of Leizhen Cai [2]. Our algorithm is based on several combinatorial observations, Gauss' famous *Eureka* theorem [1], and a generalization of the well-known fpt-algorithm for the model-checking problem for first-order logic on graphs with locally bounded tree-width due to Frick and Grohe [13]. On the other hand, we show that two natural counting versions of the problem are hard. Hence, the  $k$ -edge induced subgraph problem is one of the very few known examples in parameterized complexity that are easy for decision while hard for counting.

## 1 Introduction

Induced subgraphs are one of the most natural substructures in graphs. They capture many different combinatorial objects, e.g., clique, independent set, chordless path. Thus, a great number of algorithmic problems are about finding certain induced subgraphs, and their complexity is among the mostly extensively studied in algorithmic graph theory [3, 7, 14]. In this paper, we are mainly interested in the problem of finding an induced subgraph which contains exactly  $k$  edges, i.e., a  $k$ -edge induced subgraph. This problem is equivalent to solving a special 0-1 quadratic Diophantine equation  $x^T Ax = k$ , where  $A$  is the adjacent matrix of  $G$ ,  $x \in \{0, 1\}^n$ ,  $n = |V(G)|$ .

It is not difficult to prove that the  $k$ -edge induced subgraph problem is NP-hard by a reduction from the clique problem. So we approach the problem via parameterized complexity [9, 12] and treat  $k$  as the parameter:

$p$ -EDGE-INDUCED-SUBGRAPH

*Instance:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Decide whether  $G$  contains a  $k$ -edge induced subgraph.

As the main result of our paper, we show that  $p$ -EDGE-INDUCED-SUBGRAPH is fixed-parameter tractable. In fact, there are special cases of  $p$ -EDGE-INDUCED-SUBGRAPH whose fixed-parameter tractability has been known for a while. Since

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we can define a  $k$ -edge induced subgraph by a first-order sentence, using logic machinery, it can be shown that  $p$ -EDGE-INDUCED-SUBGRAPH is fixed-parameter tractable if the graph  $G$  has bounded tree-width [8], bounded local tree-width [13], etc., or most generally locally bounded expansion [10]. Unfortunately, the class of all graphs containing a  $k$ -edge induced subgraph does not possess any of these bounded measures. As another previously known case, using his *Random Separation* method [5] and Ramsey's Theorem, Cai [4] gave a very nice combinatorial algorithm that solves  $p$ -EDGE-INDUCED-SUBGRAPH when the parameter  $k$  is a *triangular number*, i.e.,  $k = \binom{m}{2}$  for some  $m \in \mathbb{N}$ . However, it looks very difficult to adapt Cai's algorithm to handle arbitrary  $k$ . Therefore neither logic nor combinatorial approach so far seems to be sufficient to settle the complexity of  $p$ -EDGE-INDUCED-SUBGRAPH by its own. So our fpt-algorithm is a rather tricky combination of these two methods.

### 1.1 Our approach

As just mentioned, our starting pointing is that the existence of a  $k$ -edge induced subgraph can be characterized by a sentence of first-order logic (FO) which depends on  $k$  only. It is a well-known result of Frick and Grohe [13] that the model-checking problem for FO on graphs of bounded *local tree-width* is fixed-parameter tractable. The local tree-width for a graph is a function bounding the tree-width of the induced subgraphs on the neighborhoods within a certain radius of every vertex. For instance, bounded-degree graphs have bounded local tree-width. These give immediately the fixed-parameter tractability of  $p$ -EDGE-INDUCED-SUBGRAPH on graphs with bounded degree<sup>1</sup>.

With some more efforts, the above result can be extended to graphs  $G$  with degree bounded by a function of the parameter  $k$ . In that case, we can say the degree  $\deg(v)$  of each vertex  $v$  is sufficiently small. The corresponding fpt-algorithm generalizes Frick and Grohe's Theorem to graphs with local tree-width bounded by a function of both the radius of the neighborhoods and an additional parameter. As a dual, if  $\deg(v)$  of each vertex  $v$  in  $G$  is sufficiently large, or more precisely, the complement of  $G$  has degree bounded by a function of  $k$ , then we can decide  $p$ -EDGE-INDUCED-SUBGRAPH in fpt time, too.

Moving one step further, we consider graphs in which each  $\deg(v)$  is either sufficiently small or sufficiently large, e.g., an  $n$ -star. We call such graphs *degree-extreme*. Using the same logic machinery as above, we are able to show the fixed-parameter tractability of  $p$ -EDGE-INDUCED-SUBGRAPH on degree-extreme graphs.

Assume that the graph  $G$  is not degree-extreme, i.e., there exists a vertex  $v_0$  whose degree is neither sufficiently small nor sufficiently large. We partition the vertex set of  $G$  into two sets  $V_1$  and  $V_2$ , where  $V_1$  contains all vertices adjacent to  $v_0$  and  $V_2$  the remaining vertices. Then both  $V_1$  and  $V_2$  are relatively large. Note

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<sup>1</sup> This is also a direct consequence of Seese's result that the model-checking problem for FO on bounded-degree graphs is fixed-parameter tractable [15]. But we find it more natural to work with bounded local tree-width in the following generalization.

possibly there are many edges between  $V_1$  and  $V_2$ . Nevertheless, we can compute a vertex set  $B$  in  $G$  such that every edge between  $V_1$  and  $V_2$  has one vertex in  $B$ ; and if  $B$  is large enough, we can show that  $G$  contains a  $k$ -edge induced subgraph. Otherwise, the graph  $G$  consists of two induced subgraphs  $G[V_1]$  and  $G[V_2]$ , plus the edges between  $V_1$  and  $V_2$  adjacent to the set  $B$  of bounded size. In case  $G[V_1]$  and  $G[V_2]$  are both degree-extreme, we call such a graph  $G$  a *bridge* (of two degree-extreme graphs). By the logic method again, we prove that  $p$ -EDGE-INDUCED-SUBGRAPH is fixed-parameter tractable on bridges.

Now we are left with the case that at least one of  $G[V_1]$  and  $G[V_2]$  is not degree-extreme, say  $G[V_1]$ . Then we repeat the above procedure on  $G[V_1]$  to get a partition  $V_{11} \mid V_{12}$  of  $V_1$ . And again, both  $V_{11}$  and  $V_{12}$  are sufficiently large. Arguing as before, either we already know  $G[V_1]$ , and hence  $G$ , contains a  $k$ -edge induced subgraph, or there is a set  $B_1$  of bounded size such that every edge between  $V_{11}$  and  $V_{22}$  intersects  $B_1$ .

Finally we remove the vertex set  $B_0 := B \cup B_1$  from  $G$ . Then  $G[V \setminus B_0]$  is the disjoint union of  $G[V_{11} \setminus B_0]$ ,  $G[V_{12} \setminus B_0]$  and  $G[V_2 \setminus B_0]$ . Moreover, all the three induced subgraphs are so large that, by Ramsey's Theorem, either one of them contains a large independent set, or we have three large disjoint cliques which are not adjacent to each other. For both cases, we show that  $G[V \setminus B_0]$ , and hence  $G$ , contains a  $k$ -edge induced subgraph. As a matter of fact, the second case is an easy consequence of a famous number-theoretic result of Gauss which states that *every natural number is the sum of three triangular numbers*.

We should mention that the running time of our algorithm in terms of the parameter  $k$  is *triple exponential* at least. On the other hand, it is linear in the size of the graph. We leave the detailed analysis in the full version of the paper.

## 1.2 Counting $k$ -edge induced subgraphs

We also study the parameterized complexity of computing the number of  $k$ -edge induced subgraphs. For most natural problems, if the decision version is easy, then so is the counting problem. However, it turns out that two natural counting versions of  $p$ -EDGE-INDUCED-SUBGRAPH are both hard. To the best of our knowledge, there are only few natural problems which exhibit such a phenomenon [11, 6].

## 1.3 Organization of our paper

In Section 2 we introduce necessary background and fix our notations. We prove all required combinatorial results in Section 3. In particular, we present several simple structures in a graph which, if exist, guarantee the existence of a  $k$ -edge induced subgraph. Then in Section 4 we establish the fixed-parameter tractability of  $p$ -EDGE-INDUCED-SUBGRAPH on the degree-extreme graphs and the bridges using model-checking problems for FO. We present our fpt-algorithm for  $p$ -EDGE-INDUCED-SUBGRAPH by putting all the pieces together in Section 5. Finally in Section 6 we prove the hardness of the counting problems. Due to the space limitations, for some proofs we refer to the full version of this paper.

## 2 Preliminaries

$\mathbb{N}$  and  $\mathbb{N}^+$  denote the sets of natural numbers (that is, nonnegative integers) and positive integers, respectively. For a natural number  $n$  let  $[n] := \{1, \dots, n\}$ . We denote the alphabet  $\{0, 1\}$  by  $\Sigma$  and identify problems with subsets  $Q$  of  $\Sigma^*$ . Clearly, as done mostly, we present concrete problems in a verbal, hence uncodified form over  $\Sigma$ . For every set  $S$  we use  $|S|$  to denote its size. Moreover we let  $\binom{S}{2}$  be the set of all two-element subsets of  $S$ , i.e.,  $\{\{a, b\} \mid a, b \in S \text{ and } a \neq b\}$ . A triangular number is  $\binom{k}{2} := \left| \binom{[k]}{2} \right|$  for some  $k \in \mathbb{N}$ . In particular,  $\binom{0}{2} = \binom{1}{2} = 0$ .

### 2.1 Parameterized complexity

A *parameterized problem* is a pair  $(Q, \kappa)$  consisting of a classical problem  $Q \subseteq \Sigma^*$  and a polynomial time computable *parameterization*  $\kappa : \Sigma^* \rightarrow \mathbb{N}$ .

An algorithm  $\mathbb{A}$  is an *fpt-algorithm with respect to a parameterization*  $\kappa$  if for every  $x \in \Sigma^*$  the running time of  $\mathbb{A}$  on  $x$  is bounded by  $f(\kappa(x)) \cdot |x|^{O(1)}$  for a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$ . Or equivalently, we say that the algorithm  $\mathbb{A}$  runs in fpt time. A parameterized problem  $(Q, \kappa)$  is *fixed-parameter tractable* if there is an fpt-algorithm with respect to  $\kappa$  that decides  $Q$ .

### 2.2 Graphs

We only consider *simple* graphs, that is, finite nonempty undirected graphs without loops and parallel edges. Every graph  $G = (V, E)$  is thus determined by a nonempty vertex set  $V$  and an edge set  $E \subseteq \binom{V}{2}$ . For an edge  $\{u, v\} \in E$  we say that  $u$  is *adjacent* to  $v$ , and vice versa. Often we also use  $V(G)$  and  $E(G)$  to denote the vertex set and the edge set of  $G$ , respectively.

Let  $G = (V, E)$  be a graph. For every vertex  $v \in V$  the set  $N^G(v)$  contains all vertices in  $G$  that are adjacent to  $v$ , i.e.,  $N^G(v) := \{u \mid \{u, v\} \in E\}$ . Moreover, for every  $S \subseteq V$  we let  $N^G(S) := \bigcup_{v \in S} N^G(v)$ . Note the degree of  $v$ , written  $\deg^G(v)$ , is  $|N^G(v)|$ . If  $\deg^G(v) = 0$ , then  $v$  is an *isolated* vertex. The distance  $d^G(u, v)$  between two vertices  $u, v \in V$  is the length of a shortest path from  $u$  to  $v$  in the graph  $G$ . If it is clear from the context, we omit the superscript  $G$  in the above notations and write  $N(v)$ ,  $\deg(v)$ , etc., instead.

Every nonempty subset  $S \subseteq V(G)$  induces a subgraph  $G[S]$  with the vertex set  $S$  and the edge set  $E(G[S]) := \binom{S}{2} \cap E(G)$ . Consequently, a graph  $H$  is an *induced subgraph of  $G$*  if  $H = G[V(H)]$ . Recall that  $H$  is a  *$k$ -edge induced subgraph of  $G$*  for  $k := |E(H)|$ .

Again, let  $S$  be a set of vertices in  $G$ . Then  $S$  is a *clique*, if for every  $u, v \in S$  we have either  $u = v$  or  $\{u, v\} \in E(G)$ . On the other hand, the set  $S$  is an *independent set* in  $G$ , if  $\{u, v\} \notin E(G)$  for all  $u, v \in S$ . For every  $k \in \mathbb{N}$ , there exists a constant  $\mathcal{R}_k$ , known as the *Ramsey number*, such that every graph  $G$  with  $|V(G)| \geq \mathcal{R}_k$  has either a clique of size  $k$  or an independent set of size  $k$ . It is well-known that  $\mathcal{R}_k < 2^{2 \cdot k}$  for every  $k \in \mathbb{N}$ .

### 2.3 Relational structures and first-order logic

A *vocabulary*  $\tau$  is a finite set of relation symbols. Each relation symbol has an *arity*. A *structure*  $\mathcal{A}$  of vocabulary  $\tau$ , or simply structure, consists of a nonempty set  $A$  called the *universe*, and an interpretation  $R^{\mathcal{A}} \subseteq A^r$  of each  $r$ -ary relation symbol  $R \in \tau$ . For example, a graph  $G$  can be identified with a structure  $\mathcal{A}(G)$  of vocabulary  $\tau_{\text{graph}} := \{E\}$  with the binary relation symbol  $E$  such that  $A(G) := V(G)$  and  $E^{\mathcal{A}(G)} := \{(u, v) \mid \{u, v\} \in E(G)\}$ .

The *disjoint union* of two  $\tau$ -structures  $\mathcal{A}_1$  and  $\mathcal{A}_2$  is again a  $\tau$ -structure, denoted by  $\mathcal{A}_1 \dot{\cup} \mathcal{A}_2$ , whose universe is  $A_1 \dot{\cup} A_2$ , and where for each relation symbol  $R \in \tau$  we let  $R^{\mathcal{A}_1 \dot{\cup} \mathcal{A}_2} := R^{\mathcal{A}_1} \dot{\cup} R^{\mathcal{A}_2}$ .

Let  $\mathcal{A}$  be a structure of a vocabulary  $\tau$ . Then the *Gaifman graph* of  $\mathcal{A}$  is  $G(\mathcal{A}) := (V, E)$  with  $V := A$  and  $E := \{\{a, b\} \mid a, b \in A, a \neq b, \text{ and for some } R \in \tau, \text{ and some tuple } (a_1, \dots, a_r) \in R^{\mathcal{A}}, \{a, b\} \subseteq \{a_1, \dots, a_r\}\}$ . Note any unary relation in  $\mathcal{A}$  has no influence on  $E$ .

Let  $r \in \mathbb{N}$  and  $a \in A$ . Then the  $r$ -neighborhood of  $a$  is  $N_r^{\mathcal{A}}(a) := \{b \in A \mid d^{G(\mathcal{A})}(a, b) \leq r\}$ . Moreover, the structure  $\mathcal{N}_r^{\mathcal{A}}(a)$  induced by the  $r$ -neighborhood of  $a$  has universe  $N_r^{\mathcal{A}}(a)$ , and for each  $r$ -ary relation symbol  $R \in \tau$  the interpretation  $\{(a_1, \dots, a_r) \in R^{\mathcal{A}} \mid a_1, \dots, a_r \in N_r^{\mathcal{A}}(a)\}$ .

Formulas of first-order logic of vocabulary  $\tau$  are built up from atomic formulas  $x = y$  and  $Rx_1 \dots x_r$  where  $x, y, x_1, \dots, x_r$  are variables and  $R \in \tau$  is of arity  $r$ , using the boolean connectives and existential and universal quantification.

### 2.4 Tree-width and local tree-width

We assume that the reader is familiar with the notion of *tree-width*  $\text{tw}(G)$  of a graph  $G$ . Recall that the tree-width  $\text{tw}(\mathcal{A})$  of a structure  $\mathcal{A}$  is simply  $\text{tw}(G(\mathcal{A}))$ , that is, the tree-width of the Gaifman graph of  $\mathcal{A}$ . In fact, to understand most parts of our proofs and algorithms, it is sufficient to know that for every structure  $\mathcal{A}$  we have  $\text{tw}(\mathcal{A}) < |A|$ .

Now we are ready to define the *local tree-width* of a structure  $\mathcal{A}$ . For every  $r \in \mathbb{N}$  let  $\text{ltw}(\mathcal{A}, r) := \max \{\text{tw}(\mathcal{N}_r^{\mathcal{A}}(a)) \mid a \in A\}$ . Let  $g : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  be a function and  $p \in \mathbb{N}$ . We say a structure  $\mathcal{A}$  has *local tree-width bounded by  $g$  with respect to  $p$*  if  $\text{ltw}(\mathcal{A}, r) \leq g(r, p)$  for every  $r \in \mathbb{N}$ . This slightly generalizes the usual notion of local tree-width bounded by a *unary* function [13].

## 3 Some easy positive instances

In this section, let  $k \in \mathbb{N}$  and  $G = (V, E)$  be a graph.

**Definition 1.**  $G$  contains a  $k$ -independent-set-matching structure on vertices  $u_1, \dots, u_k, v_1, \dots, v_k$  if  $u_1, \dots, u_k, v_1, \dots, v_k$  are pairwise distinct; for every  $i, j \in [k]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ ; and  $\{u_1, \dots, u_k\}$  is an independent set in  $G$ .

**Lemma 1.** Every graph containing a  $k$ -independent-set-matching structure has a  $k$ -edge induced subgraph.

*Proof:* The case for  $k = 0$  is trivially true. So assume  $k \geq 1$  and  $G$  contains a  $k$ -independent-set-matching structure on the vertices  $u_1, \dots, u_k, v_1, \dots, v_k$ . We choose the maximum  $k' \leq k$  such that  $\ell := |E(G[\{v_1, \dots, v_{k'}\}])| \leq k$ . If  $k' = k$ , then  $G[V']$  with  $V' := \{u_1, \dots, u_{k-\ell}\} \cup \{v_1, \dots, v_k\}$  is a  $k$ -edge induced subgraph of  $G$ . Otherwise,  $k' < k$ . In particular,  $|E(G[\{v_1, \dots, v_{k'}, v_{k'+1}\}])| > k$ . As  $v_{k'+1}$  can contribute at most  $k'$  many new edges, we have  $\ell + k' > k$ , i.e.,  $k - \ell < k'$ . Then  $G[V']$  with  $V' := \{u_1, \dots, u_{k-\ell}\} \cup \{v_1, \dots, v_{k'}\}$  is a  $k$ -edge induced subgraph of  $G$ .  $\square$

**Definition 2.**  $G$  contains a  $k$ -clique-matching structure on vertices  $u_1, \dots, u_k, v_1, \dots, v_k$  if  $u_1, \dots, u_k, v_1, \dots, v_k$  are pairwise distinct; for every  $i, j \in [k]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ ; and  $\{u_1, \dots, u_k\}$  is a clique in  $G$ .

**Lemma 2.** If  $G$  contains a  $k$ -clique-matching structure, then  $G$  has a  $k$ -edge induced subgraph.

*Proof:* The cases for  $k \leq 2$  are trivial. So we consider  $k \geq 3$ . Let  $k_0$  be maximum with  $\binom{k_0}{2} \leq k$  and set  $r := k - \binom{k_0}{2}$ . It is easy to verify that  $k \geq k_0 + r$  by  $k \geq 3$  and  $k_0 > r$ . Now assume  $G$  contains a  $k$ -clique-matching-structure on the vertices  $u_1, \dots, u_k, v_1, \dots, v_k$ . Then, we choose the maximum  $r' \leq r$  such that  $\ell := |E(G[\{v_1, \dots, v_{r'}\}])| \leq r$ . If  $r' = r$ , then  $G[V']$  with  $V' := \{v_1, \dots, v_r\} \cup \{u_1, \dots, u_{r-\ell}, u_{r+1}, \dots, u_{k_0+\ell}\}$  is a  $k$ -edge induced subgraph of  $G$ . Otherwise,  $r' < r$  and by the maximality of  $r'$  we have  $|E(G[\{v_1, \dots, v_{r'}, v_{r'+1}\}])| > r$ . As  $v_{r'+1}$  can add at most  $r'$  many new edges, we have  $\ell + r' > r$ , or equivalently  $r - \ell < r'$ . It follows that  $G[V']$  with  $V' := \{v_1, \dots, v_{r'}\} \cup \{u_1, \dots, u_{r-\ell}, u_{r'+1}, \dots, u_{r'+k_0-r+\ell}\}$  has exactly  $k$  edges.  $\square$

**Definition 3.** We say that  $G$  contains a  $k$ -apex structure on  $v_0, A$  and  $B$  if

- (A1)  $A, B \subseteq V$  are disjoint with  $|A| \geq k$  and  $|B| \geq \mathcal{R}_k, v_0 \in V$ ;
- (A2)  $A$  is a clique in  $G$ ;
- (A3)  $\{u, v_0\} \in E$  for every  $u \in A$  and  $\{v, v_0\} \notin E$  for every  $v \in B$ ;
- (A4)  $\{u, v\} \in E$  for every  $u \in A$  and  $v \in B$ .

**Lemma 3.** If  $G$  contains a  $k$ -apex structure, then it has a  $k$ -edge induced subgraph.

*Proof:* The case for  $k \leq 1$  is trivially true. So let  $k \geq 2$ . Moreover, let  $v_0, A, B$  be as stated in Definition 3. Since  $|B| \geq \mathcal{R}_k$ ,  $G[B]$  contains either a clique of size  $k$  or an independent set of size  $k$ . If  $G[B]$  contains an independent set  $B' \subseteq B$  with  $|B'| = k$ . Then for every  $u \in A$  the induced subgraph  $G[B' \cup \{u\}]$  has exactly  $k$  edges by (A4). Now assume that there is a clique  $B'$  in  $G[B]$  of size  $k$ . Observe by (A3) and  $k \geq 2$ , we have  $v_0 \notin (A \cup B')$ . Furthermore, it is easy to see that we can write  $k = \binom{k_0}{2} + r$  for some appropriate  $k \geq k_0 \geq r$ . We select arbitrary subsets  $A' \subseteq A$  and  $B'' \subseteq B'$  with  $|A'| = r$  and  $|B''| = k_0 - r$ . Then it is straightforward to check that  $G[A' \cup B'' \cup \{v_0\}]$  has exactly  $k$  edges.  $\square$

**Lemma 4.** *Assume there exists three disjoint cliques  $S_1, S_2, S_3$  in  $G$ , all of size  $k$ ; and there are no edges between any distinct  $S_i$  and  $S_j$ . Then  $G$  has a  $k$ -edge induced subgraph.*

It is easy to see that Lemma 4 is a direct consequence of Gauss' famous Eureka Theorem [1].

**Theorem 1.** *For every  $k \in \mathbb{N}$  there exist  $k_0, k_1, k_2 \in \mathbb{N}$  such that  $k = \binom{k_0}{2} + \binom{k_1}{2} + \binom{k_2}{2}$ .*

**Lemma 5.** *Let  $k \in \mathbb{N}^+$  and  $G = (V, E)$  be a graph without isolated vertices. If  $G$  contains an independent set of size  $(k-1)^2 + 1$ , then it has a  $k$ -edge induced subgraph.*

To prove the above lemma, we need some further preparation.

**Lemma 6.** *Let  $m, n \in \mathbb{N}^+$  and  $A, B \subseteq V$  be disjoint. If for every  $u \in A$  we have  $|N(u) \cap B| \geq 1$  and  $|A| > (m-1)(n-1)$ , then*

- (i) *either there are  $m$  vertices  $u_1, \dots, u_m$  in  $A$ , and a vertex  $v$  in  $B$  with  $\{u_i, v\} \in E$  for every  $i \in [m]$ ,*
- (ii) *or there are  $n$  vertices  $u_1, \dots, u_n$  in  $A$  and  $n$  vertices  $v_1, \dots, v_n$  in  $B$  such that for all  $i, j \in [n]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ .*

*Proof:* [of Lemma 5] Let  $S \subseteq V$  be an independent set in  $G$  with  $|S| > (k-1)^2$ . Since  $G$  has no isolated vertex,  $|N(u) \cap N(S)| \geq 1$  for every  $u \in S$ . So we can apply Lemma 6 on  $A \leftarrow S$ ,  $B \leftarrow N(S)$ ,  $m \leftarrow k$ , and  $n \leftarrow k$ . If (i) holds, then we have an induced  $k$ -star of exactly  $k$  edges. Otherwise, we have (ii). Hence, there exist vertices  $u_1, \dots, u_k \in S$  and  $v_1, \dots, v_k \in N(S)$  such that  $G$  contains a  $k$ -independent-set-matching structure on those vertices. The result follows from Lemma 1.  $\square$

**Definition 4.** *Let  $d \in \mathbb{N}$ . We define*

$$V_{[1,d]}^G := \{v \in V \mid 1 \leq \deg(v) \leq d\}.$$

It is well-known that if a graph contains many small-degree vertices, then it has a large independent set. As a result, the following is an easy consequence of Lemma 5.

**Lemma 7.** *Let  $d, k \in \mathbb{N}^+$ . If  $|V_{[1,d]}^G| > (d+1) \cdot (k-1)^2$ , then  $G$  contains a  $k$ -edge induced subgraph.*

### 3.1 A further combinatorial lemma

For later purpose, we need a generalization of Lemma 6.

**Lemma 8.** *Let  $m, n, p \in \mathbb{N}^+$  and  $A, B \subseteq V$  be disjoint in the graph  $G$ . If for every  $u \in A$ ,  $|N(u) \cap B| \geq p$  and  $|A| > (m-1)(n-1)^p$ , then*

- (i) *either there are  $m$  vertices  $u_1, \dots, u_m$  in  $A$  and  $p$  vertices  $v_1, \dots, v_p$  in  $B$  with  $\{u_i, v_j\} \in E$  for every  $i \in [m]$  and  $j \in [p]$ ,*
- (ii) *or there are  $n$  vertices  $u_1, \dots, u_n$  in  $A$  and  $n$  vertices  $v_1, \dots, v_n$  in  $B$  such that for all  $i, j \in [n]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ .*

## 4 Easy instances by model-checking

In this section we show the fixed-parameter tractability of  $p$ -EDGE-INDUCED-SUBGRAPH on some restricted classes of graphs via the model-checking problem for first-order logic. The following is a generalization of a well-known result due to Frick and Grohe [13].

**Theorem 2.** *For every computable function  $g : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  the problem*

$p$ -MC-LTW $_g$ -FO

*Instance:* A structure  $\mathcal{A}$ ,  $p \in \mathbb{N}$  and an FO-sentence  $\varphi$  such that  $\mathcal{A}$  has local tree-width bounded by  $g$  with respect to  $p$ .

*Parameter:*  $p + |\varphi|$ .

*Problem:* Decide whether  $\mathcal{A} \models \varphi$ .

*is fixed-parameter tractable.*

**Definition 5.** *Let  $d \in \mathbb{N}$  and  $G = (V, E)$  be a graph. If  $\deg(v) \leq d$  or  $\deg(v) \geq |V| - 1 - d$  for every  $v \in V$ , then the graph  $G$  is  $d$ -degree-extreme.*

**Proposition 1.** *Let  $D : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function. Then the problem*

*Instance:* A graph  $G$  and  $k \in \mathbb{N}$  such that  $G$  is  $D(k)$ -degree-extreme.

*Parameter:*  $k$ .

*Problem:* Decide whether  $G$  contains a  $k$ -edge induced subgraph.

*is fixed-parameter tractable.*

**Definition 6.** *Let  $d, b \in \mathbb{N}$ . Then  $(G, V_1, V_2, B)$  is a  $(d, b)$ -bridge (of the two degree-extreme graphs) if :*

- (B1)  $V = V_1 \cup V_2$  for some disjoint  $V_1$  and  $V_2$ . (B2)  $G[V_1]$  and  $G[V_2]$  are both  $d$ -degree-extreme.
- (B3)  $B$  is a subset of  $V$  with  $|B| = b$  such that for every edge  $\{u, v\}$  with  $u \in V_1$  and  $v \in V_2$  we have either  $u \in B$  or  $v \in B$ .

Similar to Proposition 1, we can prove:

**Proposition 2.** *Let  $D : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function. Then the problem*

*Instance:* A graph  $G = (V, E)$ ,  $V_1, V_2, B \subseteq V$  and  $k \in \mathbb{N}$  such that  $(G, V_1, V_2, B)$  is a  $(D(k), |B|)$ -bridge.

*Parameter:*  $k + |B|$ .

*Problem:* Decide whether  $G$  contains a  $k$ -edge induced subgraph.

*is fixed-parameter tractable.*



## 5 The algorithm

The main component of our fpt-algorithm for  $p$ -EDGE-INDUCED-SUBGRAPH is the following procedure that either already solves the problem or decomposes the given graph into potentially a bridge of two large degree-extreme graphs (cf. Definition 6).

For every  $k \in \mathbb{N}$  we let  $p_k := 2^{2^k} (> \mathcal{R}_k)$ .

**Lemma 9.** *For every computable function  $D : \mathbb{N} \rightarrow \mathbb{N}$  there is an fpt-algorithm  $\mathbb{A}_D$  such that for every graph  $G = (V, E)$  and every  $k \in \mathbb{N}$  exactly one of following conditions is satisfied.*

- (S1)  $G$  is  $D(k)$ -degree-extreme and  $\mathbb{A}_D$  correctly decides whether  $G$  contains a  $k$ -edge induced subgraph.
- (S2)  $G$  is not  $D(k)$ -degree-extreme and  $\mathbb{A}_D$  correctly outputs that  $G$  contains a  $k$ -edge induced subgraph.
- (S3)  $G$  is not  $D(k)$ -degree-extreme and  $\mathbb{A}_D$  outputs three subsets  $V_1, V_2, B \subseteq V$  such that
  - (S3.1)  $V = V_1 \dot{\cup} V_2$  with  $|V_1| > D(k)$  and  $|V_2| > D(k) + 1$ ;
  - (S3.2) every edge between  $V_1$  and  $V_2$  in  $G$  has one vertex in  $B$  and  $|B| \leq (p_k - 1)^{p_k + 1} + (p_k - 1)^2$ .

*Proof:* Let  $G = (V, E)$  be a graph and  $k \in \mathbb{N}$ . If  $G$  is  $D(k)$ -degree-extreme, then we apply Proposition 1 to achieve (S1). Otherwise let  $v_0 \in V$  be a vertex with

$$D(k) < \deg(v_0) < |V| - 1 - D(k). \quad (1)$$

Then we set  $V_1 := N(v_0)$  and  $V_2 := V \setminus V_1$ . By (1) it holds that  $|V_1| > D(k)$  and  $|V_2| = |V| - |V_1| = |V| - \deg(v_0) > D(k) + 1$ , i.e., (S3.1). Let  $W_1 := \left\{ u \in V_1 \mid |N(u) \cap V_2| \geq p_k \right\}$  and  $W_2 := V_1 \setminus W_1$ .

*Claim 1.* If  $|W_1| > (p_k - 1)^{p_k + 1}$ , then  $G$  contains a  $k$ -edge induced subgraph.

*Proof of the claim.* We apply Lemma 8 on  $A \leftarrow W_1$ ,  $B \leftarrow V_2$ ,  $m \leftarrow p_k$ ,  $n \leftarrow p_k$ , and  $p \leftarrow p_k$ . So there are  $p_k$  vertices  $u_1, \dots, u_{p_k}$  in  $W_1$  and  $p_k$  vertices  $v_1, \dots, v_{p_k}$  in  $V_2$  such that

- (i) either  $\{u_i, v_j\} \in E$  for every  $i, j \in [p_k]$ ,
- (ii) or for all  $i, j \in [p_k]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ .

Recall  $p_k > \mathcal{R}_k$ , so there is a subset  $S \subseteq \{u_1, \dots, u_{p_k}\}$  such that  $S$  is either an independent set or a clique. If  $S$  is an independent set, then  $G[S \cup \{v_0\}]$  has exactly  $k$  edges. So suppose  $S$  is a clique. Assume that (i) is true, then  $G$  contains a  $k$ -apex structure on  $v_0, S, \{v_1, \dots, v_{p_k}\}$ . Hence, Lemma 3 implies the claim. Otherwise (ii) holds. And say  $S = \{u_{i_1}, \dots, u_{i_k}\}$ . Then the graph  $G$  contains a  $k$ -clique-matching structure on  $u_{i_1}, \dots, u_{i_k}, v_1, \dots, v_k$ . The result follows from Lemma 2.  $\dashv$

*Claim 2.* If  $|N(W_2) \cap V_2| > (p_k - 1)^2$ , then  $G$  contains a  $k$ -edge induced subgraph.

*Proof of the claim.* It is easy to verify that we can apply Lemma 6 on  $A \leftarrow N(W_2) \cap V_2$ ,  $B \leftarrow W_2$ ,  $m \leftarrow p_k$ , and  $n \leftarrow p_k$ . So,

- (i) either there are  $p_k$  vertices  $u_1, \dots, u_{p_k}$  in  $N(W_2) \cap V_2$  and a vertex  $v$  in  $W_2$  such that  $\{u_i, v\} \in E$  for every  $i \in [p_k]$ ,
- (ii) or there are  $p_k$  vertices  $u_1, \dots, u_{p_k}$  in  $N(W_2) \cap V_2$  and  $p_k$  vertices  $v_1, \dots, v_{p_k}$  in  $W_2$  such that for all  $i, j \in [p_k]$  we have  $\{u_i, v_j\} \in E$  if and only if  $i = j$ .

But (i) contradicts our definition of  $W_2$ , i.e., for every  $u \in W_2$  we have  $|N(u) \cap V_2| < p_k$ , therefore (ii) must hold. Recall  $p_k > \mathcal{R}_k$ , hence  $G[\{v_1, \dots, v_{p_k}\}]$  contains either a clique of size of  $k$  or an independent set of size  $k$ . Without loss of generality, let  $\{v_1, \dots, v_k\} \subseteq W_2 \subseteq V_1$  be a clique or an independent set.

For the independent set case, as  $v_0 \notin V_1$ , then  $G[\{v_0, v_1, \dots, v_k\}]$  is a  $k$ -induced subgraph. For the clique case,  $G$  contains a  $k$ -clique-matching structure on  $u_1, \dots, u_k, v_1, \dots, v_k$ . We are done by Lemma 2.  $\dashv$

Let  $B := W_1 \cup (N(W_2) \cap V_2)$ . If  $|B| > (p_k - 1)^{p_k + 1} + (p_k - 1)^2$ , then, by Claim 1 and Claim 2, the graph  $G$  contains a  $k$ -edge induced subgraph, and (S2) follows. Otherwise  $|B| \leq (p_k - 1)^{p_k + 1} + (p_k - 1)^2$ . Observe that every edge between  $V_1$  and  $V_2$  has at least one vertex in  $B$ . Thus, we achieve (S3) by outputting  $(V_1, V_2, B)$ .  $\square$

Finally we are ready to present our fpt-algorithm for  $p$ -EDGE-INDUCED-SUBGRAPH.

**Theorem 3.**  $p$ -EDGE-INDUCED-SUBGRAPH is fixed-parameter tractable.

*Proof:* We define a computable function  $D_0 : \mathbb{N} \rightarrow \mathbb{N}$  by

$$D_0(k) := 2 \cdot ((p_k - 1)^{p_k + 1} + (p_k - 1)^2) + 2^{2 \cdot ((k-1)^2 + 1)}. \quad (2)$$

Then let  $\mathbb{A}_{D_0}$  be the algorithm as stated in Lemma 9 for the function  $D_0$ .

Let  $(G, k)$  with  $G = (V, E)$  be an instance of  $p$ -EDGE-INDUCED-SUBGRAPH. First, we remove all the isolated vertices in  $G$ . For simplicity, the resulting graph is denoted by  $G$  again. Then, we simulate the algorithm  $\mathbb{A}_{D_0}$  on  $(G, k)$ . If the result is either (S1) or (S2) in Lemma 9, we already get the correct answer. Otherwise,  $\mathbb{A}_{D_0}$  outputs three subsets  $V_1, V_2, B \subseteq V$  satisfying (S3.1) and (S3.2).

If  $G[V_1]$  and  $G[V_2]$  are both  $D_0(k)$ -degree-extreme, then  $(G, V_1, V_2, B)$  is a  $(D_0(k), |B|)$ -bridge with  $|B|$  bounded by an appropriate computable function of  $k$ . The fixed-parameter tractability of whether  $G$  contains a  $k$ -edge induced subgraph follows from Proposition 2. Otherwise, either  $G[V_1]$  or  $G[V_2]$  is not  $D_0(k)$ -degree-extreme.

We assume that  $G[V_1]$  is not  $D_0(k)$ -degree-extreme. (The case for  $G[V_2]$  is symmetric.) Then we simulate the algorithm  $\mathbb{A}_{D_0}$  on  $(G[V_1], k)$ . Observe that the result cannot be (S1). If the output is (S2), since  $G[V_1]$  is an induced subgraph of  $G$ , we conclude that  $G$  has an induced subgraph of exactly  $k$  edges.

Now we are left with case (S3). In particular, there are subsets  $V_{11}, V_{12}, B_1 \subseteq V_1$  such that the corresponding properties of (S3.1) and (S3.2) are satisfied. Let  $U_1 := V_{11} \setminus (B \cup B_1)$ ,  $U_2 := V_{12} \setminus (B \cup B_1)$ , and  $U_3 := V_2 \setminus (B \cup B_1)$ . Observe that in  $G$  if we remove the vertex set  $B$ , then there is no edge left between  $V_1$  and  $V_2$ . Similarly, if we remove the vertex set  $B_1$ , every edge between  $V_{11}$  and  $V_{12}$

is destroyed. Thus, by (S3.2), in the original graph  $G$ , there is no edge between each pair of  $U_1$ ,  $U_2$  and  $U_3$ . Moreover by (S3.1) and (S3.2) for every  $i \in [3]$

$$|U_i| > D_0(k) - 2 \cdot ((p_k - 1)^{p_k+1} + (p_k - 1)^2) = 2^{2 \cdot ((k-1)^2+1)} > \mathcal{R}_{(k-1)^2+1},$$

where the equality is by (2).

We use Ramsey's Theorem again. If there is an independent set of size  $(k - 1)^2 + 1$  in one of the  $U_1$ ,  $U_2$  and  $U_3$ , as  $G$  has no isolated vertex, then  $G$  contains a  $k$ -edge induced subgraph by Lemma 5. Otherwise every  $U_i$  contains a clique of size  $(k - 1)^2 + 1 \geq k$ . As we have seen that there is no edge between  $U_1$ ,  $U_2$  and  $U_3$  in  $G$ , Lemma 4 implies that  $G$  contains an induced subgraph of exactly  $k$  edges.  $\square$

## 6 Counting $k$ -edge induced subgraphs

The most natural counting version of  $p$ -EDGE-INDUCED-SUBGRAPH is:

$p$ -#EDGE-INDUCED-SUBGRAPH

*Instance:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Compute the number of  $k$ -edge induced subgraphs in  $G$ .

In fact, the hardness of  $p$ -#EDGE-INDUCED-SUBGRAPH is rather easy to show. We observe that the vertex set of every induced subgraph *without any edge* is an independent set, and vice versa. Hence the *first slice* of  $p$ -#EDGE-INDUCED-SUBGRAPH, i.e., counting the number of 0-edge induced subgraphs is exactly the classical problem #INDEPENDENT-SET of counting the number of independent sets in a given graph. Recall that #INDEPENDENT-SET is #P-hard [16]. Hence:

**Theorem 4.** *Assume #P  $\neq$  P. Then  $p$ -#EDGE-INDUCED-SUBGRAPH is not fixed-parameter tractable.*

One might attribute the above hardness result to the fact that we allow induced subgraphs to have isolated vertices. Note these isolated vertices play no role in the decision problem  $p$ -EDGE-INDUCED-SUBGRAPH. Therefore, it also makes sense to consider:

$p$ -#EDGE-INDUCED-SUBGRAPH\*

*Instance:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Compute the number of  $k$ -edge induced subgraphs *without isolated vertices* in  $G$ .

Then we can show:

**Theorem 5.**  *$p$ -#EDGE-INDUCED-SUBGRAPH\* is hard for #W[1].*

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